



2171  
#3

PATENT  
Docket No. 360322000300

**CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"**

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Assistant Commissioner for Patents, Washington, D.C. 20231, on March 30, 2001.

C. Wilson  
C. Wilson

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

D. S. LIPKIN et al.

Serial No.: 09/759,856

Filing Date: January 12, 2001

For: A METHOD AND APPARATUS FOR A  
WEB CONTENT PLATFORM

Examiner: To Be Assigned

Group Art Unit: 2171

RECEIVED  
APR - 9 2001  
TC 2100 MAILROOM

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - ☐ A fee is required.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided herein.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

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In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to

charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 360322000300. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: March 30, 2001

Respectfully submitted,

By: A. Randall Camacho  
A. Randall Camacho  
Registration No. 46,595

Morrison & Foerster LLP  
425 Market Street  
San Francisco, California 94105-2482  
Telephone: (415) 268-7886  
Facsimile: (415) 268-7522

Form PTO-1449

Docket Number 360322000300

Application Number 09/759,856

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

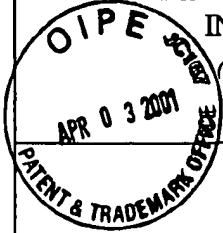
Applicant

D. S. LIPKIN et al.

Filing Date January 12, 2001

Group Art Unit 2171

Mailing Date



## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
JX	1.	9/26/2000	6,125,363	Buzzeo et al.			

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO

## OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title

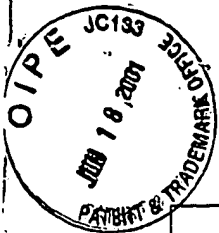
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APR - 9 2001  
TC 2100 MAILROOM

EXAMINER:

DATE CONSIDERED:

2-5-2005

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.



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JUN 19 2001

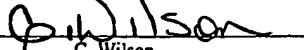
2171  
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Technology Center 2100

PATENT  
Docket No. 360322000300

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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C. Wilson

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Daniel S. LIPKIN et al.

Serial No.: 09/759,856

Filing Date: January 12, 2001

For: METHOD AND APPARATUS FOR A  
WEB CONTENT PLATFORM

Examiner: To Be Assigned

Group Art Unit: 2171

SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97

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Washington, D.C. 20231

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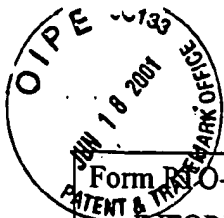
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Dated: June 12, 2001

Respectfully submitted,

By: A. Randall Camacho  
A. Randall Camacho  
Registration No. 46,595  
Morrison & Foerster LLP  
425 Market Street  
San Francisco, California 94105-2482  
Telephone: (415) 268-7886  
Facsimile: (415) 268-7522



Form PTO-1449

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

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Docket Number 360322000300

Application Number 09/759,856

Applicant

Daniel S. LIPKIN et al.

Filing Date January 12, 2001

Group Art Unit 2171

Mailing Date June 12, 2001

JUN 19 2001

Technology Center 2100 U.S. PATENT DOCUMENTS

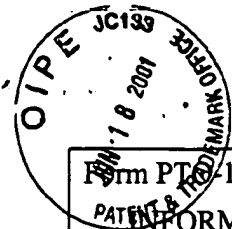
Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	10/20/87	4,701,130	Whitney et al.			
	2.	1/23/90	4,895,518	Arnold et al.			
	3.	3/30/93	5,199,068	Cox			
	4.	11/9/93	5,259,766	Sack et al.			
	5.	12/7/93	5,267,865	Lee et al.			
	6.	12/14/93	5,270,920	Pearse et al.			
	7.	4/5/94	5,301,270	Steinberg et al.			
	8.	5/10/94	5,310,349	Daniels et al.			
	9.	5/10/94	5,311,422	Loftin et al.			
	10.	7/5/94	5,326,270	Ostby et al.			
	11.	1/10/95	5,381,332	Wood			
	12.	3/7/95	5,395,243	Lubin et al.			
	13.	6/6/95	5,421,730	Lasker, III et al.			
	14.	3/12/96	5,499,293	Behram et al.			
	15.	8/20/96	5,548,506	Srinivasan			
	16.	1/28/97	5,597,312	Bloom et al.			
	17.	8/5/97	5,655,118	Heindel et al.			
	18.	6/2/98	5,761,063	Jannette et al.			
	19.	6/9/98	5,765,140	Knudson et al.			
	20.	8/4/98	5,788,504	Rice et al.			
	21.	8/18/98	5,795,155	Morrel-Samuels			
	22.	10/20/98	5,826,252	Wolters, Jr. et al.			
	23.	11/3/98	5,829,983	Koyama et al.			

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.





Form PTO-1449

Docket Number 360322000300

Application Number 09/759,856

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

Applicant

Daniel S. LIPKIN et al.

(Use several sheets if necessary)

Filing Date January 12, 2001

Group Art Unit 2171

Mailing Date June 12, 2001

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO	
JL	24.	6/19/97	97/22096	PCT				
JA	25.	2/5/98	98/05018	PCT				
JA	26.	3/12/98	98/10399	PCT				

## OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title

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EXAMINER: [Signature]

DATE CONSIDERED: 7-25-01

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.



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PATENT  
Docket No. 360322000300

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Assistant Commissioner for Patents, Washington, D.C. 20231, on September 14, 2001.

Valerie Cohen  
Valerie Cohen

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

Daniel S. LIPKIN et al.

Serial No.: 09/759,856

Filing Date: January 12, 2001

For: METHOD AND APPARATUS FOR A  
WEB CONTENT PLATFORM

Examiner: To Be Assigned

Group Art Unit: 2171

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Technology Center 2100

**SECOND SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

The documents listed on the attached Form PTO-1449 were cited in a Search Report (copy attached) directed to a counterpart international or foreign application.

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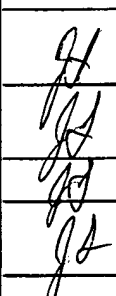
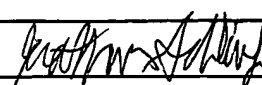
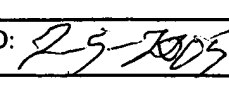
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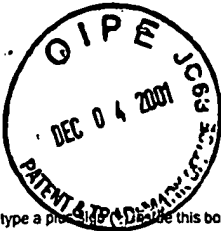
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Facsimile: (415) 268-7522

<b>Form PTO-1449</b>  <b>INFORMATION DISCLOSURE CITATION</b> <b>IN AN APPLICATION</b> <i>(Use several sheets if necessary)</i>				Docket Number 360322000300		Application Number 09/759,856	
				Applicant  Daniel S. LIPKIN et al.			
				Filing Date: January 12, 2001		Group Art Unit 2171	
				Mailing Date: September 14, 2001			
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
<b>FOREIGN PATENT DOCUMENTS</b>							
Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
<b>OTHER DOCUMENTS</b> <i>(including author, title, Date, Pertinent Pages, Etc.)</i>							
Examiner Initials	Ref. No.	Title					
	1.	P. Ciancarini et al., "An extensible rendering engine for XML and HTML", Comp. Networks, and ISDN Systems 30, 1998, pp. 225-237.					
	2.	F. Vitali et al., "Extending HTML in a principled way with displets", Comp. Networks, and ISDN Systems 29, 1997, pp. 1115-1128.					
	3.	"Multi-modal Data Access", Research Disclosure, Oct. 1999, 8 pgs.					
	4.	"Converting HTML To Well Formed XML With Preference Based Tag Expansion", Research Disclosure, July 1999, 3 pgs.					
<div style="font-size: 1.2em; font-weight: bold;">RECEIVED</div> <div style="font-size: 1.1em;">SEP 27 2001</div> <div style="font-size: 1.1em;">Technology Center 2100</div>							
EXAMINER: 				DATE CONSIDERED: 			
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PTO/SB/21 (08-00)  
Approved for use through 10/31/02. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/759,858
	Filing Date	January 12, 2001
	First Named Inventor	Daniel S. LIPKIN
	Examiner Name	To Be Assigned
	Group Art Unit	2171
Total Number Of Pages In This Submission	13 + 1 Ref.	Attorney Docket No. 360322000300

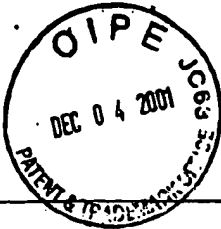
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Technology Center 2100

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declarations	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosures (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1. International Search Report (8 pages)
<input checked="" type="checkbox"/> Information Disclosure Statement w/Form 1449 (4 pages)	<input type="checkbox"/> Request for Refund	2. One (1) Reference
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	3. Return Receipt Postcard
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT	
Firm or Individual Name	Morrison & Foerster, 425 Market Street, San Francisco, CA 94105 A. Randall Camacho, Reg. No. 46,595
Signature	<i>A. Randall Camacho</i>
Date	November 26, 2001

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<i>Valerie Cohen</i> Valerie Cohen

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*Valerie Cohen*  
Valerie Cohen

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Serial No.: 09/759,856

Filing Date: January 12, 2001

For: METHOD AND APPARATUS FOR A  
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Examiner: To Be Assigned

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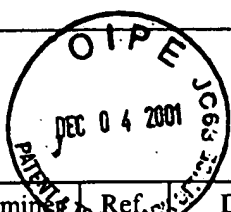
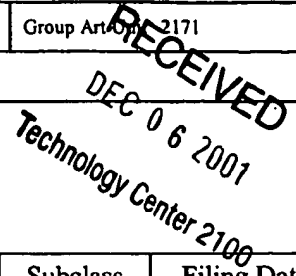


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<b>Form PTO-1449</b>  <b>INFORMATION DISCLOSURE CITATION</b> <b>IN AN APPLICATION</b>  <i>(Use several sheets if necessary)</i>				Docket Number 360322000300		Application Number 09/759,856	
				Applicant  Daniel S. LIPKIN et al.			
				Filing Date: January 12, 2001		Group Art. No. 2171	
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Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
<i>JA</i>	1.	9/11/2001	6,289,382 B1	Bowman-Amuah			
<b>FOREIGN PATENT DOCUMENTS</b>							
Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
<b>OTHER DOCUMENTS</b> <i>(including author, title, Date, Pertinent Pages, Etc.)</i>							
Examiner Initials	Ref. No.	Title					
EXAMINER: <i>[Signature]</i>				DATE CONSIDERED: <i>2-5-2005</i>			
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